SciFinderⁿ

Patent Search and Chemscape Analysis

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Agenda

- Patent coverage in SciFinderⁿ
- Patent indexing in SciFinderⁿ
- Patent information in SciFinderⁿ
- Searching for Patents in SciFinderⁿ
- Chemscape Analysis
- Markush search in SciFinderⁿ



Patent coverage in SciFinderⁿ

CAS covers patents from 64 patent issuing authorities

- Inclusion of patents in SciFinderⁿ depends on
 - Country
 - Time of publication
 - International Patent Classification (IPC)

A summary of patent coverage is available on the CAS website: <u>https://www.cas.org/support/documentation/references/patentcoverage</u>



Patent coverage in SciFinderⁿ

SciFinderⁿ has guaranteed and selective IPCs

If a guaranteed IPC is associated with a patent, that patent will be in SciFinderⁿ. If selective, the patent goes through further analysis to determine if it is "chemically relevant."

Coverage of patent kinds and years differs by patent authorities

	IE Ireland	A 1	Patent Application	2002-
		A2	Short-Term Patent Application	2002-
	IL Israel	Α	Patent Application	1966-
	IN India	Α	Patent Application	2004-
		В	Patent Specification	1948-



Timeliness for patent authorities

Patent Issuing Authority	Bibliographic Information & Abstract	Fully indexed
US, WO, EP, DE, GB, FR, CA, RU, JP	Within 2 days of publication	Within 27 days
CN, KR, IN	Within 14 days of publication	Within 49 days



Indexing of patents and patent families

- The first application abstracted and indexed is called the basic application or basic patent
 - CAS lists the first published application received as the "Basic Application"
- Subsequent publications relating to the invention will show the Priority Application Number of the basic application
 - Equivalent family members are added via computer algorithm
- Multiple documents may be treated as basics
 - The Patent Cooperation Treaty (WO) application
 - Original national equivalent(s) with oldest priority from the U.S., Germany, Great Britain, France, Canada, or the European Patent Office (EPO)



Patent families in SciFinderⁿ

- Patent families list publications from around the world describing the same invention
- These publications cite common date(s) and priority number(s)
- It is a convenient method of summarizing worldwide patent information sought by an applicant



Simple vs. complex patent families

Simple patent family

- All equivalents have a common priority application number
- Priority applications are the first applications filed for a particular invention or for a particular aspect of an invention

Complex patent family

Family members are related to more than one priority application number that are all somehow related to one another through multiple divisional and/or CIP applications



Simple patent family

Patent Family

Patent	Language	Kind Code	PatentPak Options	Publication Date	Application Number	Applicat
WO2013032412	English	A1	PDF PDF+ Viewer	2013-03-07	WO2012-TH37	2012-08
					TH2011-1001774	2011-08
AU2012302299	English	A1	PDF	2014-04-10	AU2012-302299	2012-08
IL231155	English	А		2014-04-30	(12) INTERNATION A	ONAL AP
EP2748144	English	sh A1		2014-07-02	(12) INTERNATI (19) World Inte Organ	ellectual Pr
KR2014083998	Korean	А	PDF	2014-07-04	Internatio	nal Bureau
CN103917521	Chinese	А	PDF	2014-07-09	(43) Internationa 7 March 201	l Publicat 3 (07.03.2
JP2014529607	Japanese	т	PDF	2014-11-13	(51) International Patent (

		審査請求	未請求 予
(21) 出願番号	特願2014-527121 (P2014-527121)	(71) 出願人	513160707
(86) (22) 出願日	平成24年8月24日 (2012.8.24)		ピーティー
(85) 翻訳文提出日	平成26年4月24日 (2014.4.24)		パブリッ
(86) 国際出願番号	PCT/TH2012/000037		\$1, 10
(87) 国際公開番号	W02013/032412		キック, ウ
(87) 国際公開日	平成25年3月7日(2013.3.7)		-1, 55
(31) 優先権主張番号	1101001774		クス, ビル
(32) 優先日	平成23年8月26日(2011.8.26)		ンスーエイ
(33) 優先權主張国	タイ (TH)	(71) 出願人	514048095

(19) 대한민국특허청(KR) (12) 공개특허공보(A) (51) 국제특허분류(Int. Cl.) CO7C 403/24 (2006.01) CO7B 63/00 (2006.01 (21) 출원번호 10-2014-7007656 ion Date (22) 출원일자(국제) 2012년08월24일 심사청구일자 없음 -24 (85) 번역문제출일자 2014년03월24일 -26 (86) 국제출원번호 PCT/TH2012/000037 (87) 국제공개번호 WO 2013/032412 -24 국제공개일자 2013년03월07일 30) 우선권주장 PLICATION PU 1101001774 2011년08월26일 태국(TH) roperty ion Date WIPO (19) 中华人民共和国国家知识产权局 2013) ication: (12) 发則 C07C 403/24 (2006.01) (21) International Application Number: PCT/TH2012/0000 (22) International Filing Date: 24 August 2012 (24.08.201 (21) 申请号 201280046332.2 (25) Filing Language: Engli (22) 申请日 2012.08.24 (26) Publication Language: Engli (30)优先权数据 (30) Priority Data: 1101001774 2011.08.26 TH 26 August 2011 (26.08.2011) 1101001774 т (71) Applicants (for all designated States except US): PTT GLOBAL CHEMICAL PUBLIC COMPANY LIM-ITED [TH/TH]; 555/1 Energy Complex, Building A, 14th-18th Floor, Vibhavadi Rangsit Road, Chatuchak, Chatuchak, Bangkok 10900 (TH). CHULALONGKORN American Chemical Society UNIVERSITY [TH/TH]; 254 Phayathai Road, Pathum-

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Complex patent family

Patent Family						
Patent	Language	Kind Code	PatentPak Options	Publication Date	Application Number	Application Date
US20090124415	English	A1	PDF PDF+ Viewer	2009-05-14	US2008-12337718	2008-12-18
US7427242	English	B1	PDF PDF+ Viewer	2008-09-23	US2007-11939635	2007-11-14
US20090124426	English	A1		2009-05-14	US2008-12196514	2008-08-22
US20090124427	English	A1		2009-05-14	US2008-12196522	2008-08-22
US7582025	English	B2		2009-09-01	US2008-12196522	2008-08-22
US7621825	English	B2		2009-11-24	US2008-12196514	2008-08-22
US20090124414	English	A1	PDF PDF+ Viewer	2009-05-14	US2008-12335935	2008-12-16
US7762910	English	B2		2010-07-27	US2008-12335935	2008-12-16
US7942761	English	B2	PDF	2011-05-17	US2008-12337718	2008-12-18
US20090124416	English	A1	PDF PDF+ Viewer	2009-05-14	US2008-12339495	2008-12-19
US7815526	English	B2		2010-10-19	US2008-12339495	2008-12-19
US20090124417	English	A1	PDF PDF+ Viewer	2009-05-14	US2008-12342545	2008-12-23
US7946934	English	B2	PDF	2011-05-24	US2008-12342545	2008-12-23
US20100173726	English	A1	PDF PDF+ Viewer	2010-07-08	US2010-12695379	2010-01-28
US8454454	English	B2	PDF	2013-06-04	US2010-12695379	2010-01-28
US20100222156	English	A1		2010-09-02	US2010-12781281	2010-05-17
US20100227708	English	A1	PDF PDF+ Viewer	2010-09-09	US2010-12781245	2010-05-17
US20100227709	English	A1		2010-09-09	US2010-12781310	2010-05-17
US7967703	English	B2	PDF	2011-06-28	US2010-12781310	2010-05-17
US8523708	English	B2	PDF	2013-09-03	US2010-12781245	2010-05-17

Complex patent families usually arise from the addition of continuation, divisional, and/or continuation-in-part applications.



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Examine the full text to get the big picture



Related U.S. Application Data

(63) Continuation-in-part of application No. 12/196,514, filed on Aug. 22, 2008, which is a continuation of application No. 11/939,635, filed on Nov. 14, 2007, now Pat. No. 7,427,242.



Indexing of patents

Multiple documents may be treated as basics

- The Patent Cooperation Treaty (WO) application
- Original national equivalent(s) with oldest priority from the U.S., Germany, Great Britain, France, Canada, or the European Patent Office (EPO)



Double basic patent

Patent Family							
Patent	Language	Kind Code	PatentPak Options	Publication Date	Application Number	Application Date	
WO2013165324	English	A2	PDF PDF+ Viewer	2013-11-07	WO2013-TH17	2013-04-05	
					TH2012-1001608	2012-04-05	
WO2013165324	English	A3	PDF	2014-03-20	WO2013-TH17	2013-04-05	
US20150056756	English	A1	PDF PDF+ Viewer	2015-02-26	US2014-14390992	2014-10-06	
US9607916	English	B2	PDF	2017-03-28	US2014-14390992	2014-10-06	



Language analysis in SciFinderⁿ

- The language listed in the SciFinderⁿ record is the language of the indexed, basic patent
- Be careful when removing foreign language patent publications
- Removing foreign language basic patents may remove corresponding English language equivalent information



Live Demo 1: Searching for patents in SciFinderⁿ

- Keywords
- Author
- Organization
- Patent number

Example:

- 1. Find patents on yeast with lactic acid tolerance.
- 2. Find patent
 - WO2021034276
 - KR2018134995
 - US9012698

Information in SciFinderⁿ patent records

- Enhanced titles
- Enhanced abstracts
- Subject indexing
- Citations

Search References

Substance indexing

Reactions

Markush

Search Substances

Search Reactions

Search Markush



Example of an enhanced patent abstract

(57)

ABSTRACT

A golf ball comprising a core, a cover, and an intermediate layer disposed between the core and the cover, wherein the intermediate layer comprises a non-ionomeric fluoropolymer having a formula:



wherein X_1 to X_{12} are hydrogen, fluorine, chlorine, bromine, iodine, CH₃, CF₃, linear or branched alkyl group, partially fluorinated or perfluorinated alkyl group, linear or branched alkoxy group, partially fluorinated or perfluorinated alkoxy group, aromatic, or alicyclic; at least one of X_1 to X_4 comprises a fluorine; m ranges from 100 to 1 percent by weight of the fluoropolymer; n ranges from 0 to 50 percent by weight of the fluoropolymer; and o ranges from 0 to 35 percent by weight of the fluoropolymer. Additional sentences are added by CAS editorial staff that describe the composition of the golf ball's intermediate layer.

A **golf ball comprises** a core, a cover, and an intermediate layer disposed between the core and the cover, wherein the intermediate layer **comprises** a non-ionomeric fluoropolymer having a formula -[CX¹(X³)CX²(X⁴)]-[CX⁵(X⁷)CX⁶(X⁸)]-[CX¹(X)¹¹CX¹⁰(X¹²)]-; wherein X¹ to X¹² are hydrogen, fluorine, chlorine, bromine, iodine, CH₃, CF₃, linear or branched alkyl group, partially fluorinated or perfluorinated alkyl group, linear or branched alkoxy group, partially fluorinated or perfluorinated alkoxy group, incear or branched alkoxy group, partially fluorinated or perfluorinated alkoxy group, aromatic, or alicyclic; at least one of X¹ to X⁴ **comprises** a fluorine; m ranges from 100 to 1 percent by weight of the fluoropolymer; n ranges from 0 to 50 percent by weight of the fluoropolymer; and o ranges from 0 to 35 percent by weight of the fluoropolymer. Thus, a composition of the an intermediate layer of a **golf ball** contains Kynar Flex 2900-04 (hexafluoropropylene-vinylidene fluoride copolymer). The intermediate layer was molded over a polybutadiene core to give a **golf ball** with an ATTI compression of 80, and a COR at 125 ft/s 0f 0.795, and water absorption <0.05%.



Substances indexed in SciFinderⁿ records

- SciFinderⁿ covers characterized substances identified in all patents
 - Claimed & exemplified substances with supporting data
- In addition, SciFinderⁿ covers items identified in the Examples section of patents
 - Exemplified prophetic substances specific but uncharacterized substances (e.g., reactants, isolated intermediates, products) identified by chemical name or structure, including structures displayed in a table
 - Exemplified prophetic uses novel but unsubstantiated uses of known substances

https://www.cas.org/support/documentation/chemical-substances/prophetics



Determining if a substance is prophetic

Example IV Synthesis of α-Glutamyl-L-Se-methylselenocysteine

[0069] Steps similar to the ones as discussed in Example III were followed for the synthesis of Synthesis of α -Glutamyl-L-Se-methylselenocysteine.

Specific substance in Example section?

Chemical name or structure provided?

No characterization provided?

Does not appear in Claims?



CAS prophetic substance (prior art)



Live Demo 2: Searching for compounds from patents in SciFinderⁿ

- Substance identifier/Structure
- Chemscape Analysis
- Patent number

Example:

- 1. Find patents on chloroquine.
- 2. Chemscape analysis on
 - Compounds similar to chloroquine
 - Polyimide polymers
- 3. Find compounds from patent US20090061096

Markush structures in Patents

- Many chemical patents define their chemical structures as a broad generic structure, called a Markush formula
 - After Dr. Eugene Markush who was the first to get a patent based on a generic structure in 1924
 - The Markush formula may represent thousands of theoretical possible structures
- The Editorial staff at CAS only register and index specific substances mentioned in the claims and the examples of the patent in the CAS REGISTRYSM file
- The Markush search option in SciFinderⁿ searches a special database from CAS (MARPAT[®]) if your structure could match a theoretical fit with the definition of the Markush formula of the patent





Live Demo 3: SciFinderⁿ Markush search

- Has the following compound been described in the literature or patents?
- If not, is it a novel compound?
- Do I have a Freedom to Operate for this substance?





SciFinderⁿ Markush search

The present invention is directed to the use of compounds of formula I



s wherein X is -S-, -S(O)-, -S(O)₂-, -S(O)₂NH-, -P(O)(OCH₃)-, -P(O)(OH)-, - NH-, -N(CH₃)-, -NHC(O)NH-, C(O)-, -C(O)O-, -NHC(O)-, -CH(OH)-, -CH=N-, -CH=CH-, -CH₂NH- or C(=NH)-;

R¹ is aryl or heteroaryl;

 R^2 is hydrogen, OR^4 or NR^5R^6 ;

R⁴ is C₁-C₈ alkyl or C₂-C₈ alkenyl;
R⁵ and R⁶ independently are hydrogen, C₁-C₈ al
R³ is hydrogen, cyano, heteroaryl, heterocycloa

Aryl or heteroaryl is to be understood to include a six membered ring or a bicycle consisting of two condensed six-membered rings or one six-membered and one fivemembered ring, wherein one or more C atoms may be replaced, independently of one another, by an atom selected from the group consisting of oxygen, nitrogen and sulfur. Examples include C_6 - C_{10} aryl, C_1 - C_9 heteroaryl, and C_6 aryl condensed to a five or six membered aliphatic or heteroaliphatic ring, e.g. naphthyl, 1,2,3,4-tetrahydronaphthalenyl,



WO2005058292

